

Form PTO-1449 Substitute		U.S. Department of Commerce Patent and Trademark Office		Application Number	10/037,341	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Filing Date	January 4, 2002	
				First Named Inventor	David Baltimore	
				Art Unit	1636	
				Examiner Name	D. Guzo	
				Attorney Docket No.	75723- ZA/JPW/GJG/CS	
<div style="display: flex; justify-content: space-around;"> <div> </div> <div> </div> </div>						
NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
	1	Brief of Defendant-Appellant Eli Lilly and Company, filed June 18, 2008, in the concurrent litigation captioned <i>Ariad Pharmaceuticals, Inc., et al., v. Eli Lilly and Co., U.S. Court of Appeals for the Federal Circuit, Appeal No. 2008-1248</i> ;				
	2	Brief for Plaintiffs-Appellees, filed September 26, 2008, in the concurrent litigation captioned <i>Ariad Pharmaceuticals, Inc., et al., v. Eli Lilly and Co., U.S. Court of Appeals for the Federal Circuit, Appeal No. 2008-1248</i>				
	3	May 22, 2008 Defendant's Memorandum in Opposition to Amgen's Motion to Preclude the Opinions of Dr. Kathryn Calame Relating to Experiments Conducted by Dr. Joel Pomerantz, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT</i> ;				
	4	May 22, 2008 Defendant's Memorandum in Opposition to Amgen's Partial Motion to Preclude Ariad's Proffered Experts on Willfulness and Lack of Inequitable Conduct, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT</i> ;				
	5	May 22, 2008 Defendant's Memorandum in Opposition to Amgen's Motion for Summary Judgment of Noninfringement, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT</i> ;				
	6	May 22, 2008 Defendant's Memorandum in Opposition to Amgen's Motion to Preclude Certain Opinions of Dr. Jeffrey v. Ravetch, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al., U.S. District Court for the District of Delaware, CA No. 06-259-MPT</i> ;				
EXAMINER SIGNATURE		DATE CONSIDERED				

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant to place a checkmark here if English language Translation is attached.

Applicants: David Baltimore, et al.
 Serial No.: 10/037,341
 Filed: January 4, 2002
 Exhibit A

Form PTO-1449 Substitute U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Application Number	10/037,341
	Filing Date	January 4, 2002
	First Named Inventor	David Baltimore
	Art Unit	1636
	Examiner Name	D. Guzo
	Attorney Docket No.	75723- ZA/JPW/GJG/CS

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	7	May 22, 2008 Defendant's Memorandum of Law in Opposition to Amgen's Motion for Summary Judgment on Willfulness, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	8	May 22, 2008 Defendant's Brief in Opposition to Amgen's Motion for Summary Judgment for Lack of Adequate Written Description, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	9	May 22, 2008 Defendant's Memorandum in Opposition to Amgen's Motion to Preclude the Testimony of Dr. Ryan Sullivan, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	10	May 22, 2008 Defendant's Rebuttal Brief on Claim Construction, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	11	May 22, 2008 Amgen Entities' Answering Brief in Opposition to Ariad and the Institution's Motion for Partial Dismissal for Lack of Subject Matter Jurisdiction, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	12	May 22, 2008 Amgen Entities' Answering Brief in Opposition to Ariad and the Institutions' Motion for Partial Summary Judgment on Inequitable Conduct, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	

**EXAMINER
SIGNATURE**
DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a checkmark here if English language Translation is attached.

Form PTO-1449 Substitute U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Application Number	10/037,341
	Filing Date	January 4, 2002
	First Named Inventor	David Baltimore
	Art Unit	1636
	Examiner Name	D. Guzo
	Attorney Docket No.	75723- ZA/JPW/GJG/CS

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	13	May 22, 2008 Amgen Entities' Answering Brief in Opposition to Ariad and the Institutions' Daubert Motion to Preclude Expert Testimony of the Honorable Gerald J. Mossinghoff and Dr. Aaron Ciechanover, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	14	May 22, 2008 Amgen's Answering Brief on Claim Construction, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	15	June 6, 2008 Reply Memorandum in Support of Defendants' Motion for Partial Dismissal for Lack of Subject Matter Jurisdiction, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	16	June 6, 2008 Reply Memorandum in Support of Defendants' Motion for Partial Summary Judgment on Inequitable Conduct, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	17	June 6, 2008 Reply Memorandum in Support of Defendants' Motion to Preclude Expert Testimony of Mr. Gerald J. Mossinghoff and Dr. Aaron Ciechanover, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT	

EXAMINER
SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a checkmark here if English language Translation is attached.

Form PTO-1449 Substitute U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Application Number	10/037,341	
	Filing Date	January 4, 2002	
	First Named Inventor	David Baltimore	
	Art Unit	1636	
	Examiner Name	D. Guzo	
		Attorney Docket No.	75723- ZA/JPW/GJG/CS

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	18	June 6, 2008 Amgen Entities' Reply Brief in Support of Their Motion for Summary Judgment of Invalidity of U.S. Patent No. 6,410,516 for Lack of Adequate Written Description Under 35 U.S.C. § 112, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	19	June 6, 2008 Amgen Entities' Reply Brief in Support of Their Motion for Summary Judgment of Noninfringement of U.S. Patent No. 6,410,516, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	20	June 6, 2008 Amgen Entities' Reply Brief in Support of Their Motion for Summary Judgment of Non-willfulness, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	21	June 6, 2008 Amgen Entities' Reply in Support of Their Daubert Motion to Preclude Certain Unsupported and Unreliable Opinion of Dr. Ryan Sullivan Relating to Damages, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	22	June 6, 2008 Amgen Entities' Reply Brief in Support of its Daubert Motion to Preclude Certain Proffered Opinions of Dr. Jeffrey v. Ravetch Relating to Written Description and Inherent Anticipation, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT	

**EXAMINER
SIGNATURE**

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a checkmark here if English language Translation is attached.

Form PTO-1449 Substitute U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Application Number	10/037,341
	Filing Date	January 4, 2002
	First Named Inventor	David Baltimore
	Art Unit	1636
	Examiner Name	D. Guzo
	Attorney Docket No.	75723- ZA/JPW/GJG/CS

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	23	June 6, 2008 Amgen Entities' Reply Brief in Support of Their Daubert Motion to Preclude Certain Inadmissible Opinions of Dr. Kathryn Calame Relating to Experiments Performed by Dr. Joel Pomerantz, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	24	June 6, 2008 Amgen Entities' Reply Brief in Support of Their Motion to Preclude Ariad's Proffered Experts on Willfulness and Inequitable Conduct from Opining on Intent, State of Mind, and Other Matters, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	25	September 19, 2008 Memorandum and Order on Claim Construction, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	26	September 19, 2008 Memorandum and Order on Defendant's Motion for Partial Dismissal for Lack of Subject Matter Jurisdiction and Motion for Summary Judgment on Inequitable Conduct, in the concurrent litigation captioned <i>Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	27	September 19, 2008 Memorandum and Order on Plaintiff's Motion for Summary Judgment for Noninfringement of U.S. Patent No. 6,410,516, in the concurrent litigation captioned <i>Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT	

**EXAMINER
SIGNATURE**
DATE CONSIDERED

***EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a checkmark here if English language Translation is attached.

Form PTO-1449 Substitute U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Application Number	10/037,341
	Filing Date	January 4, 2002
	First Named Inventor	David Baltimore
	Art Unit	1636
	Examiner Name	D. Guzo
	Attorney Docket No.	75723- ZA/JPW/GJG/CS

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	28	September 23, 2008 Defendant's Motion to Dismiss or for Judgment Pursuant to Fed. R. Civ. P. 54(b), in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	29	September 25, 2008 Plaintiff's Memorandum in Opposition to Defendant's Motion to Dismiss or for Judgment Pursuant to Fed. R. Civ. P. 54(b), in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	30	September 26, 2008 Defendant's Reply Memorandum in Support of Their Motion to Dismiss or for Judgment Pursuant to Fed. R. Civ. P. 54(b), in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	31	September 26, 2008 Amendment to the September 19, 2008 Memorandum and Order on Plaintiff's motion, in the concurrent litigation captioned <i>Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	32	October 3, 2008 Memorandum Order Granting in Part and Denying in Part of Defendant's Motion to Dismiss or for Judgment Pursuant to Fed. R. Civ. P. 54(b), in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT;	
	33	October 3, 2008 Judgment in Favor of Amgen Inc, et al., in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT; and	

**EXAMINER
SIGNATURE**
DATE CONSIDERED

***EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a checkmark here if English language Translation is attached.

**Form PTO-1449 Substitute U.S. Department of Commerce
Patent and Trademark Office**

Application Number	10/037,341
Filing Date	January 4, 2002
First Named Inventor	David Baltimore
Art Unit	1636
Examiner Name	D. Guzo
Attorney Docket No.	75723- ZA/JPW/GJG/CS

INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	34	October 6, 2008 Notice of Appeal to the Federal Circuit, in the concurrent litigation captioned <i>Amgen, Inc., et al. v. Ariad Pharmaceuticals, Inc., et al.</i> , U.S. District Court for the District of Delaware, CA No. 06-259-MPT.	

**EXAMINER
SIGNATURE**

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Applicant is to place a checkmark here if English language Translation is attached.